







Children in the Marshall Islands

### Rachel Novotny

Professor and Interim Dean and Director for Research and **Cooperative Extension** 

**University of Hawaii at Manoa** 

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### Modernization - Urbanization Epidemiologic Transition - Nutrition Transition



Hawaii Farmers Market

- As countries develop economically, they modernize and tend to urbanize, and this tends to involve changed structures to farming and transportation
  - More mechanized, less human labor (physical activity)
  - More processed food
  - Less active transportation
- Diseases shift from infectious to chronic diseases,
  - accompanied by less undernutrition and more obesity

Popkin, Horton and Kim 2001. The Nutrition Transition and Prevention of Diet-related Diseases in Asia and the Pacific. Food and Nutrition Bulletin, vol. 22, no. 4 (supplement) © The United Nations University, 2001

## Developmental Origins of Health Status or

"The circumstances in which women are pregnant and children develop"

"Babies come from society"

"Babies are record keepers of societal decisions"

(Winett, Wulf and Wallack 2016 AJPH 106:8:1369-73)

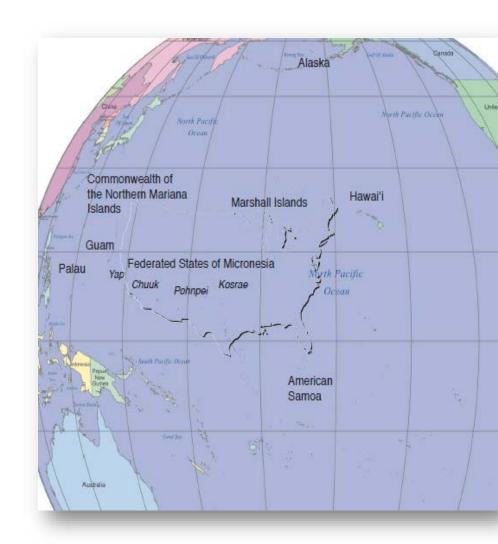


- Critical periods of growth
  - Early undernutrition predisposes to metabolic programming that results in overweight and obesity later in life ("Barker hypothesis")
  - Important for modernizing countries
- Focus on the community & environment/context for intervention
- Growth indicators useful



## **U.S. Affiliated Pacific Region**

- Jurisdictions have varied affiliation with US (states, territories, commonwealth, "free association")
- Larger geographic area than continental US (7 time zones - 2 days)
- Obesity among the highest in the world among adults (about 70%) though data are limited
- Fewer data on child obesity
  - U.S. National Health and Nutrition Examination Survey (NHANES) does not cover the region
  - CHL fills this gap



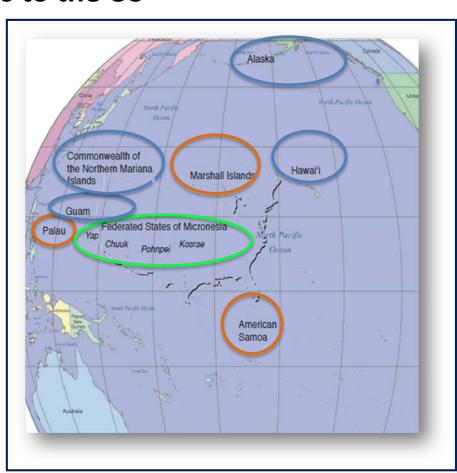


### **CHL Program**

## 11 Jurisdictions of the US Affiliated Pacific a modernizing region of lower middle income to high income which have ties to the US

Classification of Jurisdiction Income by World Bank Income Classification (http://chartsbin.com/view/2438)

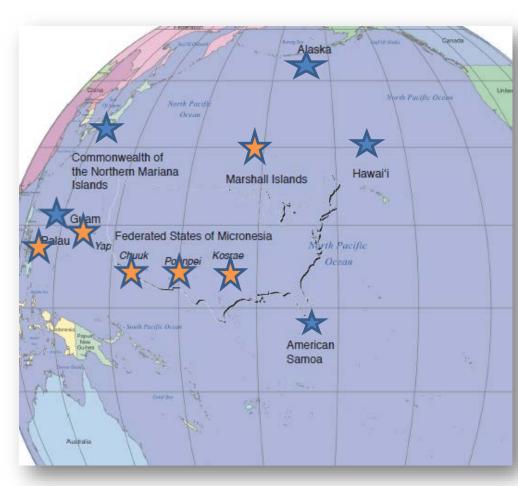
- Lower middle income (LMI):
   Federated States of Micronesia
   (Yap, Chuuk, Pohnpei, Kosrae)
- Upper middle income (UMI): American Samoa, Marshall Islands, Palau
- High income (HI): Guam,
   Northern Mariana Islands, US states (Hawaii and Alaska)

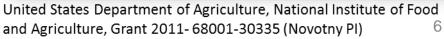


### **CHL Program**

## in 11 jurisdictions of the US Affiliated Pacific Region 5 jurisdictions in intervention program Rachel Novotny, Principal Investigator

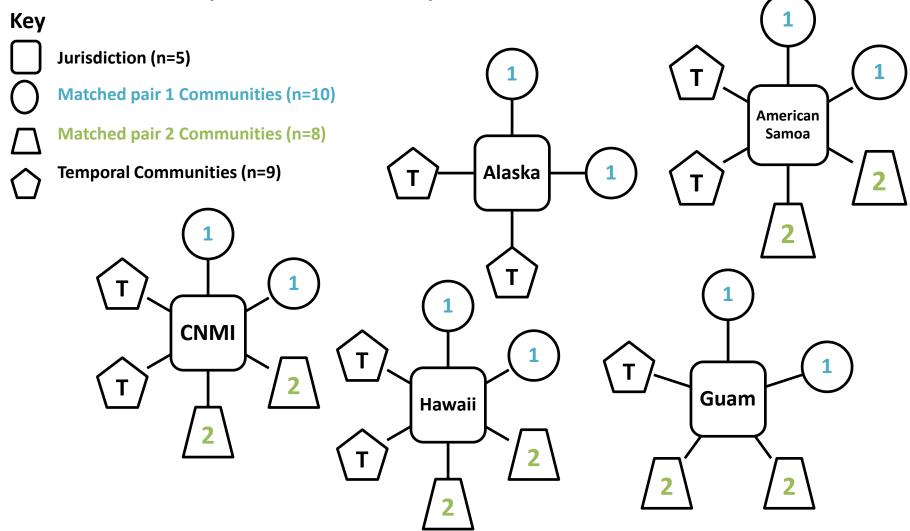
- 11 jurisdictions collected prevalence survey
- 5 jurisdictions in CHL intervention trial (all are UMI except AM Samoa which is UMI)- prevalence survey was baseline survey
  - Hawaii University of Hawaii
  - Guam University of Guam
  - Alaska University of Alaska at Fairbanks
  - American Samoa American Samoa Community College Commonwealth of the Northern Mariana Islands – Northern Marianas College







CHL Community-Randomized Intervention Trial in 5 Jurisdictions (27 communities), Wilken et al 2013



## CHL Engagement of the Randomized Communities



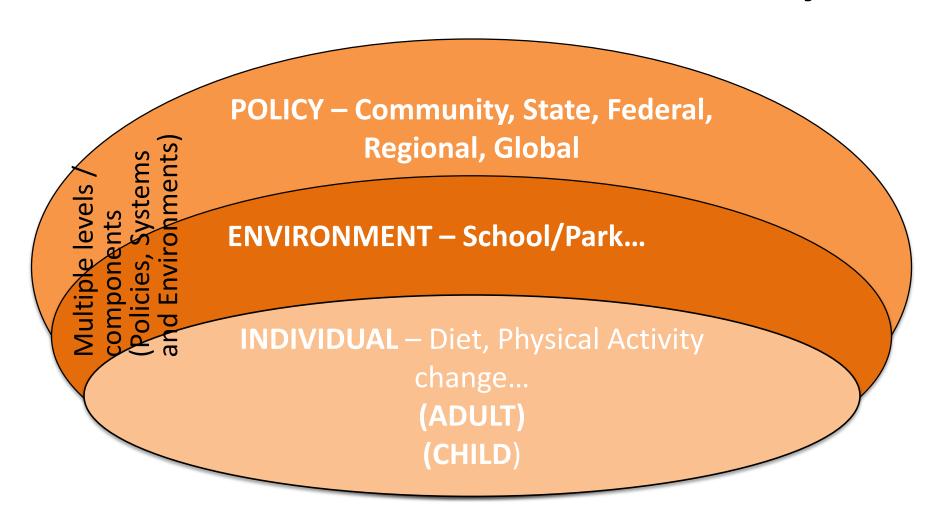
Community Leaders meet in CNMI

Living (CHL) Program Step	Activities				
Step 1. Engage communities to identify and	A local advisory committee was established in each jurisdiction.				
prioritize preferred intervention strategies	Multiple key informant meetings were held to learn about community-specific resources targeting young children, to help develop a shared vision of CHL's community involvement, and to guide work with the communities.				
	Four community meetings were held in each of five jurisdictions to identify community-preferred intervention strategies. These strategies were collated by jurisdiction.				
	Three inventories (programs, data, and policies) were compiled for each of four communities in each jurisdiction.				
	Collated lists of preferred intervention strategies and inventory findings were shared in a second series of community meetings in each jurisdiction. Strategies on these lists were prioritized by community participants based on their perceived importance and feasibility.				
Step 2. Review scientific literature	Intervention team conducted a systematic literature review of effective environmental strategies to prevent and control childhood obesity.				
Step 3. Merge findings from the community and literature	CHL Coordinating Center merged findings from the community meetings and literature.				
	The intervention team reviewed, discussed, and finalized the intervention activity g				
Step 4. Formulate CHL multilevel intervention	The region-wide CHL intervention was formulated at week-long annual meeting with representatives from all jurisdictions.				
	Jurisdiction-specific meetings were held to plan how the CHL intervention would be specifically operationalized in the jurisdiction's communities.				

Braun et al. (ANGELO model- Analysis Grid for Environments/Elements Linked to Obesity)

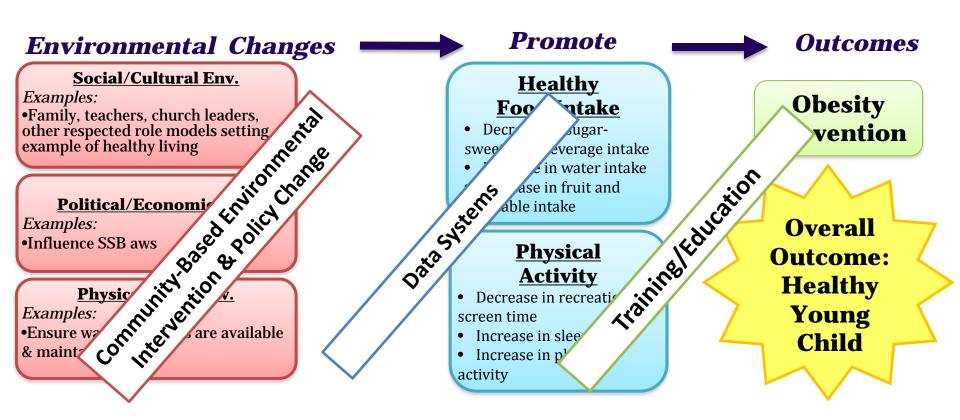


## "Social Ecologic Model" of multiple multilevel influences on child obesity





# CHL Program Multilevel Multicomponent Multijurisdiction A Social Ecologic Model



				1		
CHL MLMC Intervention Template: 4 Cross-Cutting Functions, 19 Activities &	1	1	1	<b>↑</b>	<b>↓</b>	1
6 Behavioral Targets	SSB	F/V	PA	Water	Screen	Sleep
Policy: Review Assessment Data for Policy & Physical Environment related to the 6 CHL behaviors						
a. Review preschool wellness policy assessment data to identify training needs	X	X	Х	X	X	X
b. Review community assessment data to identify areas for advocacy	Х	X	Х	Х		
<b>Environmen</b> t: Community Partnership and Advocacy for Environmental Change						
a. Work with coalitions to advocate for						
i. Better access to parks that are safe & inviting			Х			
ii. Better access to clean water	X			X		
iii. Safer environments for walking & biking			X			
iv. Better food placement in stores	X	X		X		
v. Gardens & hydroponics		X	Х			
b. Partner with existing entities to purchase or obtain sponsorship for						
i. Water in the preschools	X			X		
ii. Gardening supplies for preschool kids		X	Х			
iii. Sports equipment for preschool kids			Х			
iv. Campaigns & messages	X	X	Х	X	X	Х
Messaging: Promote the CHL Message to Community						
a. Support role models to deliver CHL messages in various venues	X	X	Х	X	X	X
b. Enhance existing social marketing campaigns related to 6 CHL behaviors	X	X	X	X	X	X
c. Advertise CHL or other activities that promote 6 CHL target behaviors	X	X	X	X	X	X
Capacity Building: Train the Trainers /Role models						
a. Train individuals to promote gardening in preschools & communities	X	X	Х	X	X	X
b. Train individuals to lead interactive, hands-on, & family-based sessions	X	X	Х	X	X	X
c. Train preschool providers on wellness policies	X	X	Х	x	X	X
d. Train preschool providers in curricula related to 6 CHL target behaviors	X	X	Х	x	X	X
e. Train role models (community champions, role celebrities, role models)	Х	Х	Х	X	<b>X</b>	X
		-		ı		-



# Development of the CHL Intervention

Community Based
Participatory Research
process to find
"environments"
(intervention foci) to
support in Pacific
communities to
achieve intervention
targets



## CHL MLMC Community Selection Criteria for Intervention Program

- 27 communities were selected in 5 jurisdictions (in 2011) 1 jurisdiction is UMI
  - 2000 US census data were used to inform selection of communities to be
    - >25% of the population of indigenous/native descent of each jurisdiction
    - >10% of the population under age 10 years, our target group
    - >1000 population size
    - Relatively accessible locations
- Communities were matched and randomized to intervention and control (community randomized)
- Some communities were also selected temporal assessment (BMI and waist)



Yap, Photo Novotny



## CHL Intervention Implementation Approach

- Partnered with, supports (funds), and "adds value" to existing programs that are conducting activities related to CHL's 6 behavioral targets (positive deviance).
- Built local capacity and coalitions to sustain programs and policy changes.
- Promoted a common CHL message.
- Collected process information on the implementation strategies, duration, and reach.

Braun K et al 2014 Childhood Obesity



## Community readiness (CR) for change for intervention planning and analysis

#### Method

- Participants: Minimum of 5 key informants knowledgeable of the food and physical activity environment of each of the 4 intervention and control communities in each of 5 intervention jurisdictions, baseline and post-intervention (18 – 24 months)
- The CR survey: assessed 6 dimensions of CR -self-administered (online or paper).
- Scoring: Range 1 (No Awareness) to 9 (High Level of Community Ownership). Each dimension totaled and divided by the number of participants per community. Total for all dimensions divided by 6 (number of dimensions) to determine overall CR score for each community.

#### Result

Average CR scores remained relatively consistent post-intervention from baseline at 5.

#### Analysis

 Community CR will be used to interpret the results of the intervention and to examine modification of effects.

CR Tool based on OPIC study using the Tri-ethnic Center tool adapted for the Pacific (Plested, Edwards and Thurman)



## **CHL Process Data Components: Monthly Intervention Implementation Grid**

		Accept ability	Reach	Likelihood of Effectiveness	Adoption	Sustainability	Feasibility	Total
•	Review Assessment Data for the Policy and Physical Environment related to the 6 CHL behaviors							
	Review preschool wellness policy     assessment data to identify training     needs	3	3	3	3	2	3	17
	<ul> <li>Review CAT (community assessment toolbox) data re1ated to the physical environment to identify areas for advocacy</li> </ul>	3	1	2	1	1	3	11
	Partner and Advocate for Environmental							
	Change  a. Work with existing community organizations and coalition and/or form new coalitions to advocate for i. Better access to parks that are	-	a			3	1	14
	safe and inviting ii. Better access to clean water iii. Safer environments for walking	1				1 2	1 1	7
	biking, etc (e.g., bike lanes/rack sidewalks, greenways) iv. Better food placement in stores			10 3 m		1	2 2	8
	v. Gardens and Hydroponics b. Partner with existing entities to purchase or obtain sponsorship fo	1786	-		40		2	10
	vi. Gardening supplies for preschokids	1	-			1	1	8
	vii. Sports equipment for preschookids	1	Want of the same o			1	1	7
	viii. Campaigns and messages	W.				1	1	6

Tafuna Elementary, American Samoa, April 2013



### **CHL Measures by MLMC Level**

- Individual Children 2-8 yo, child race/ethnicity
  - Anthropometry (Height, Weight, and Waist)
  - Acanthosis Nigricans (Back of the neck)
  - Accelerometry (6 days)
  - Food and Activity logs (2 days)
  - Sleep Questionnaire
  - Screen Time
- Parent/Caregiver Acculturation, Household SES, Household Food Insecurity
- Community Readiness (Leaders), Environment (Store, Park, Walkability)
- Jurisdiction Food & Utility cost survey & World Bank Income Level



Height measurement, Palau

#### **Acanthosis Nigricans Screening Scale**

(Burke JP, Hale DE, Hazuda HP, Stern MP. 1999. A quantitative scale of acanthosis nigricans. Diabetes Care 22:1655–1659.)

Instructions: Rate and circle using a black/blue pen the severity of acanthosis nigricans on the back of the neck using the screening scale below.

Neck Severity	Rating:	0	1	2	3	4
Comments:						
-						

+	Neck Severity Rating	Neck Severity	Description
	0	Absent	Not detectable on close inspection.
	1	Present	Clearly present on close visual inspection, not visible to the casual observer, extent not measurable
	2	Mild	Limited to the base of the skull, does not extend to the lateral margins of the neck (usually <3 inches in breadth).
	3	Moderate	Extending to the lateral margins of the neck (posterior border of the sternocleidomastoid) (usually 3-6 inches), should not be visible when the participant is viewed from the front.
	4	Severe	Extending anteriorly (>6 inches), visible when the participant is viewed from the front.

Coded as present or absent for this presentation





## Household Food Insecurity Core Question, from US Department Agriculture

FORM 23-02 – 24-month	Children's Healthy Living Progr Information About Your Child									
FOOD SEC	OTHER INFORMATION  FOOD SECURITY/AVAILABILITY									
		oney for food run out before the end of the								
☐ Never	Seldom Sometimes Most times									

 Food insecurity classified yes if household money for food ran out before the end of the month sometimes, most times or always (Nord, et al., 2002; USDA Core Food Security Module)



## CHL INDIVIDUAL LEVEL SAMPLE Child Enrollment & Measurement

Jurisdiction	Consented	Anthropometry	FAL*	Acticals*
Guam – 24 month	908	858	705	404
CNMI – 24 month	1,011	1,001	653	485
Am. Samoa – 24 month	950	950	569	360
Hawaii – 24 month	1,034	1,016	423	408
Alaska – 24 month	782	741	340	247
24 Month Intervention Total	4,685	4,566	2,690	1,904
<b>Baseline Intervention Total</b>	4,488	4,443	2,614	2,032
FAS Prevalence Study	1,287	1,227	1,149	554
CHL Total	10,460	10,236	6,454	4,490

<sup>\*</sup>target was 150 for FAL (food and activity log) and 100 for Actical accelerometers per community

Building native walking trails in Hawaii

### **Anthropometric Measurement and Classification**

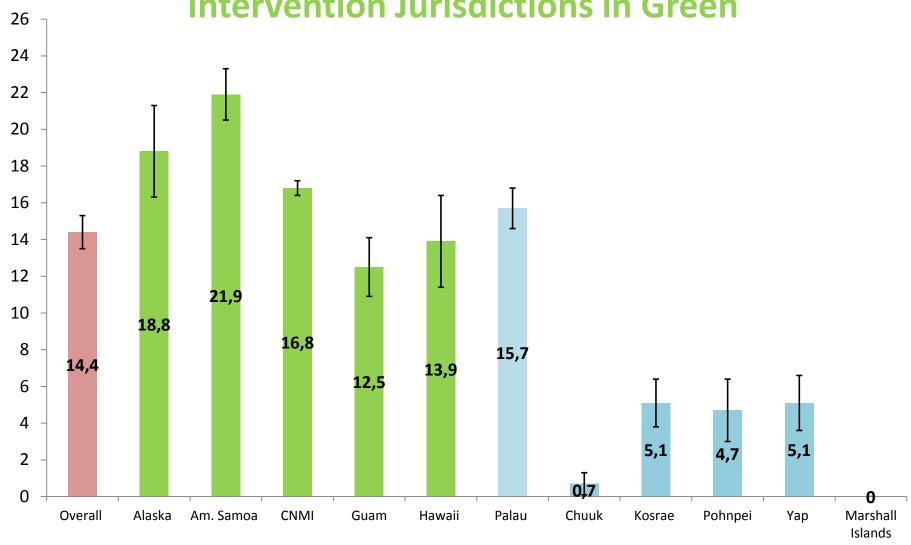
- Child's height, weight and waist were measured (n=5463) by trained and standardized staff with 99% reliability obtained (Li et al 2015, Am J Hum Bio).
- **Obesity** status was categorized using BMI based on CDC's 2000 reference data (the norm used in the region) for 2-8 year olds.
  - **Obese** ≥95th BMI percentile for age and sex
  - Cutoff values for biologically implausible values were defined according to CDC as <-4 or >5 SD according to BMI z scores and removed.
- Underweight was defined categorized based on CDC's 2000 reference data
  - Underweight < 5<sup>th</sup> percentile for age and sex
  - Cutoff values for biologically implausible values were defined and removed according to CDC as <-4 or >5 SD according to BMI z scores.
- **Stunting** was defined as current height-for-age z score (HAZ) <-2 SDs below the mean of CDC reference data (n=5461).
  - Cutoff values for extreme z scores for HAZ were <-6 or</li>
     >6 SD from the mean and were removed from the analysis.

Visualizing stunting



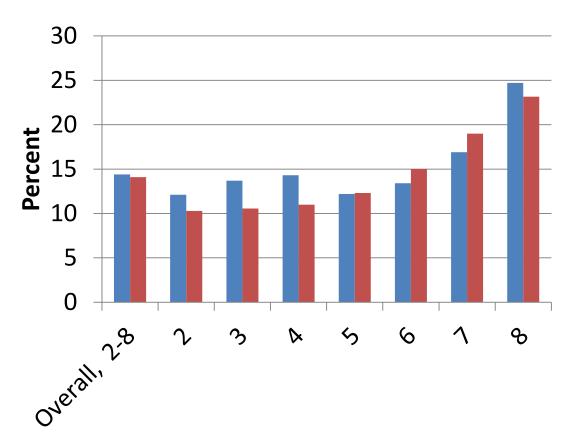
### **CHL Baseline Prevalence of Obesity\*** by Jurisdiction (2 – 8 year olds)

**Intervention Jurisdictions in Green** 



<sup>\*</sup> BMI ≥ 95<sup>th</sup> percentile, weighted for population size and adjusted for community clustering. 14.1% overweight (85th to 94th percentile) overall Novotny et al Medicine in press

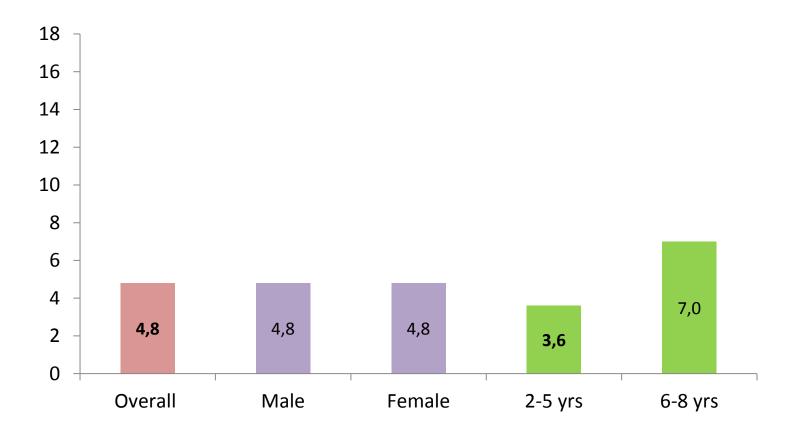
## **CHL Young Child Obesity Estimates**



- Prevalence US
  Affiliated Pacific 2012 2013 (Novotny et al. In
  Press, Medicine)
- CHL Systematic Review Obesity Prevalence US Affiliated Pacific 2001-2014 (Novotny et al. 2015, AJPH)

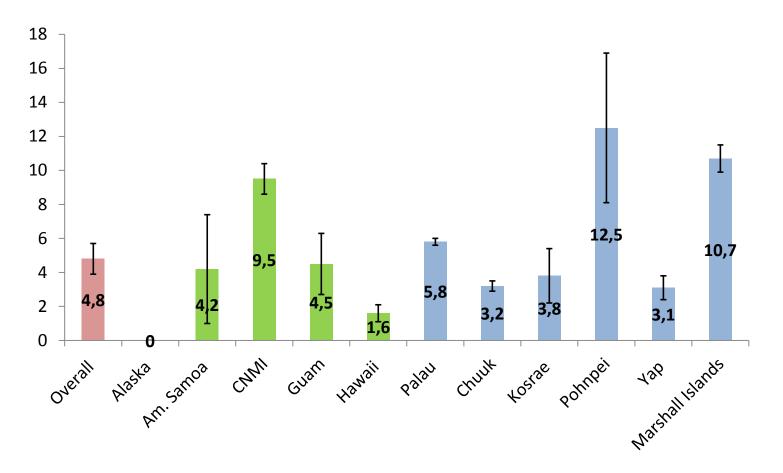
Age, years

## Prevalence\* of Acanthosis Nigricans by Sex and Age Group



<sup>\*</sup> Any presence of AN, weighted for population size and adjusted for community clustering. Novotny et al Medicine in press

## Prevalence\* of Acanthosis Nigricans by Jurisdiction



<sup>\*</sup> Any presence of AN, weighted for population size and adjusted for community clustering. Novotny et al Medicine in press

## CHL Overall Intervention Impact Analysis

 Mixed Model testing each outcome (BMI, waist and each of 6 target behaviors) accounting for Clustering (Communities) in a Group (Community) Randomized Trial.





### **CHL Preliminary MLMC Intervention Impact Analysis**

- Regression models
  - Adjust for clustering of communities within jurisdiction and age distribution of children
  - Intervention effect is represented by interaction between type of community (intervention, control, temporal) and time (baseline, 24 month)
  - Child Outcomes (powered on BMI)
    - BMI z-score, Waist circumference, Screen time, Acanthosis nigricans

Conservative analysis on community differences only, which

is the randomization unit

Start of the day in Yap



### **CHL Hypothesized Intervention Impact Measures**

(6 behavioral outcomes, 3 health outcomes)

### **Primary**

- 1. Sleep by 15 min/day
- 2. Moderate to Vigorous Physical Activity by 10 min/day
- 3. Fruit & Vegetable intake by 1 serving/day (1/2 c/day)
- 4. Water intake by ½ cup/day
- 5. Sedentary behavior (screen time) by 10 min/day
- 6. Sugar Sweetened Beverage intake by ½ cup/day
- 1. Prevalence of obesity by 8% (0.10 kg/m<sup>2</sup>, BMI z-score)
- 2. Waist circumference by 2% (1.12 cm)

### Secondary



3. Acanthosis nigricans by 5%



### Use of dose of intervention in analysis

- Secondary analyses will use dose of intervention
  - Association of changes in outcomes to intervention activities based on quantitation
- Examine activity implementation for communities with biggest changes
  - For example, did activities targeting
     SSB lead to the change in SSB
     consumption?
  - Did the community with the biggest change do something that the others did not?



Palau



## **Complexity, Interaction and Attribution**

- Planned principal component analysis (PCA) of intervention activities to identify clusters of activities that were implemented together.
- Planned reduced rank regression of change in target (e.g., obesity) on intervention activities to identify clusters of activities that affected target together.



### **Data Analysis of MLMC Intervention**

#### Primary Analysis

- Randomized Community Trial degrees of freedom adjustment is too conservative
  - Methods for adjusting to an "effective sample size"
  - Develop random bootstrap comparison group as alternative
- Transformations needed for some variables
  - Difficult to interpret
- Use Categorical outcomes
  - BMI category, Meeting PA and diet compared to recommendations

#### Secondary analysis

- Examine change among communities that have need for change
- Identify activities influencing change where change occurred overall (SSB, Water, Sleep changed in intervention and control)
- Look at exposure to intervention where change occurred overall



Ready for Waist Circumference Measurement, Yap

## **CHL Important Lessons Learn**

Structures
Policies, and
Systems
Community
Institutions and
Organizations
Inferpersonal

- Community Engagement
  - Relationship building
  - Readiness of communities
- Process Measures
  - Definitions Fidelity, Dose, Reach, Exposure
  - For Monitoring
  - For Analysis measure
- Impact Measures
  - Definitions
  - Effectiveness approaches
    - Total Impact (embrace complexity) and Component analyses
    - Statistical methods –unit of analysis, implementation measures
- Sustainability/Maintenance
  - Plan from beginning- approach of supporting those relationships
  - Adoption
  - Coalitions
  - Policy change

## Discussion/Implications/Policy

- Use additional metrics to capture other aspects of food security, especially of the subsistence population
- Countries in economic growth (nutrition transition) are vulnerable to the "dual burden of malnutrition"
- Policies that balance economic development with protection of health are needed to address inequities and protect a healthy local food system
  - In development of markets
  - Support small farmers
  - Support postharvest processes to prevent loss



Children wait to participate in CHL Study, Pohnpei



## **Ongoing CHL Initiatives**

- Training CHL Summer Institute, and other ongoing child obesity prevention training initiatives, including anthropometric measurement and standardization
- BMI/Health Monitoring Building and Sustaining from the CHL base
- Maintaining the CHL PSC & partnership USDA Land Grant multistate project, other grants, PacTrac3 Diet analysis Software Coordination, Accelerometry Coordination, manuscript and data use approvals
- CHL Data Coordination Continue to analyze and use the CHL data- publish, make data available for research, program and policy planning & advocacy



## Disseminating CHL via the CHL web site http://www.chl-pacific.org/





#### Ke kmal mesaul

**Komol tata** Si Yu'us ma'ase Kamgan Ukudigaa Kulo Fa'afetai Quyana mildren's Mealthy living proces Kammagar Gunalchéesh Kalahngan Kangof Mahalo Maasee'